IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF GEORGIA ATLANTA DIVISION CASE NO. 1:12-cy-2296-TCB

Securities and Exchange Commission,

Plaintiff,

VS.

Aubrey Lee Price, PFG, LLC, PFGBI, LLC, Montgomery Asset Management, LLC f/k/a PFG Asset Management, Montgomery Asset Management, LLC f/k/a PFG Asset Management,

Defendants.

Genworth Life Insurance Company, Protective Life Insurance Company, Household Life Insurance Company,

Plaintiffs in Intervention,

VS.

Melanie E. Damian, as Receiver for the Estate of Aubrey Lee Price, PFG, LLC, PFGBI, LLC, Montgomery Asset Management, LLC f/k/a PFG Asset Management,

Defendant in Intervention.

RECEIVER'S NOTICE OF FILING STATEMENT OF UNDISPUTED FACTS IN SUPPORT OF HER MOTION FOR SUMMARY JUDGMENT Defendant in Intervention, Melanie E. Damian, as the Receiver for the Estate of Aubrey Lee Price, *et al.* (the "Receiver"), hereby gives notice of filing the attached Statement of Undisputed Facts in support of her Motion for Summary Judgment filed in redacted form on January 20, 2015 via Notice of Filing Redacted Documents [ECF No. 234].

Respectfully submitted,

VER PLOEG & LUMPKIN, P.A.

/s/ Jason S. Mazer Jason S. Mazer

Florida Bar No. 0149871

jmazer@vpl-law.com

Matthew L. Baldwin

Florida Bar No. 27463

mbaldwin@vpl-law.com

100 S.E. 2nd Street, 30th Floor

Miami, Florida 33131

Telephone: (305) 577-3996

Facsimile: (305) 577-3558

Special Insurance Counsel for the

Receiver, Admitted Pro Hac Vice

and

DAMIAN & VALORI, LLP Kenneth Dante Murena, P.A.

Florida Bar No. 147486

kmurena@dvllp.com

Guy F. Giberson, P.A.

Florida Bar No. 627402

ggiberson@dvllp.com

1000 Brickell Avenue, Suite 1020

Miami, Florida 33131

Telephone: (305) 371-3960 Facsimile: (305) 371-3965 Counsel for the Receiver, Admitted Pro Hac Vice

and

PETITT WORRELL CRAINE
WOLFE, LLC
Andrew Worrell, Esquire
Georgia Bar No. 311027
Andrew@petittworrell.com
Suite 1030, One Glenlake
1 Glenlake Parkway
Atlanta, Georgia 30328
Telephone: (404) 249-7480

Facsimile: (404) 442-4463
Co-Counsel for the Receiver

LOCAL RULE 5.1 CERTIFICATION

By signature below, counsel certifies that the foregoing document was prepared in Times New Roman, 14-point font in compliance with Local Rule 5.1.

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on January 20, 2015, I electronically filed the foregoing document with the Clerk of Court using CM/ECF. I also certify that the foregoing document is being served this day on all counsel of record identified on the attached Service List in this manner specified, *via* transmission of Notice of

Electronic Filing generated by CM/ECF or in some other authorized manner for those counsel or parties who are not authorized to receive electronically Notice of Electronic Filing.

/s/ Jason S. Mazer
Jason S. Mazer

SERVICE LIST

Madison Graham Loomis, Esq. W. Shawn Murnahan, Esq.

Securities & Exchange Commission-GA 950 East Paces Ferry Road, N.E.

Suite 900

Atlanta, Georgia 30326 Telephone: (404) 842-7600

Email: loomism@sec.gov
Email: murnahanw@sec.gov

Securities and Exchange Commission

Peter D. Muller

GOODMAN MCGUFFEY LINDSEY & JOHNSON, LLP Suite 300 532 Stephenson Avenue

Savannah, GA 31405-5987

Telephone: (912) 503-2170 pmuller@gmlj.com

Counsel for Receiver, Melanie Damian

Robert J. Waddell, Jr., Esq. Laura E. Reinhold, Esq.

McGuire Woods, LLP Suite 2100, Promenade II 1230 Peachtree Street, N.E. Atlanta, Georgia 30309

Telephone: (404) 443-5500

Email: rwaddell@mcguirewoods.com
Email: rreinhold@mcguirewoods.com

Counsel for FDIC

David J. Forestner, Esq.

CARLTON FIELDS JORDEN BURT, P.A. One Atlantic Center, Suite 3000

1201 W. Peachtree Street Atlanta, Georgia 30309

Telephone: (404) 815-3400

Email: <u>dforestner@cfjblaw.com</u>

Counsel for Plaintiff in Intervention,
Genworth Life Insurance Company

C. Andrew Kitchen, Esq.

MAYNARD, COOPER & GALE, P.C.

275 Battery Street

Suite 1350 San Francisco, California 94111

Telephone: (415) 704-7433

Email: dkitchen@maynardcooper.com

Counsel for Plaintiffs in Intervention, Protective Life Insurance Company and

Household Life Insurance Company

Philip Keith Lichtman

MILLS, PASKERT, DIVERS P.C.

Telephone: (305) 530-0050 Email: rcuervo@cfjblaw.com

One Atlantic Center

Raul Cuervo, Esq.

100 S.E. 2nd Street

Miami, Florida 33131

Suite 4200

CARLTON FIELDS JORDEN BURT, P.A.

Counsel for Plaintiff in Intervention,

Genworth Life Insurance Company

1201 West Peachtree Street

Suite 2610

Atlanta, Georgia 30309

Telephone: (404) 870-8200

klichtman@mpdlegal.com

Counsel for Plaintiffs in Intervention, St. Paul Mercury Insurance Company

Christopher C. Frost, Esq. Alexander B. Feinberg, Esq.

Maynard, Cooper & Gale, P.C.

1901 Sixth Avenue North 2400 Regions Harbert Plaza

Birmingham, Alabama 35203

Telephone: (205) 254-1186

Email: cfrost@maynardcooper.com

Email: <u>afeinberg@maynardcooper.com</u> Counsel for Plaintiffs in Intervention,

Protective Life Insurance Company and

Household Life Insurance Company

Alan Francis Curley

ROBINSON CURLEY & CLAYTON, P.C.

300 South Wacker Drive

Chicago, IL 60606

Telephone: (312) 663-3100 acurley@robinsoncurley.com

Counsel for Federal Deposit Insurance

Corporation ("FDIC")